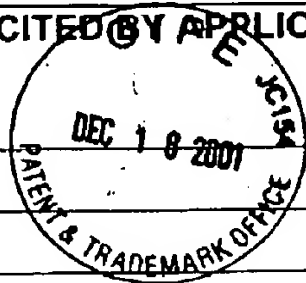


| | | | |
|--|--|-------------------------------|---------------------------|
| U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified) | | Docket No. ROC920010108US1 | Serial No. 09/912,975 |
| LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT (Use several sheets if necessary) | | Applicant Birkholz et al. | Confirmation No.: 6558 |
| Examiner Unknown | | Filing Date July 25, 2001 | Group 2122 2101 |



RECEIVED
 DEC 21 2001
 Technology Center 2100

U.S. Patent Documents

| *Examiner Initial | | Document Number | Issue Date | Applicant(s) Name | Class | Subclass | Filing Date If Appropriate |
|-------------------|-----|-----------------|------------|-------------------|-------|----------|----------------------------|
| <i>Har</i> | A1 | 4,905,171 | 02-27-1990 | Kiel et al. | 364 | 551 | 11-09-1987 |
| | A2 | 5,408,618 | 04-18-1995 | Aho et al. | 395 | 325 | 07-31-1992 |
| | A3 | 5,627,766 | 05-06-1997 | Beaven | 364 | 551.01 | 01-03-1995 |
| | A4 | 5,696,701 | 12-09-1997 | Burgess et al. | 364 | 551.01 | 07-12-1996 |
| | A5 | 5,758,071 | 05-26-1998 | Burgess et al. | 395 | 200.5 | 07-12-1996 |
| | A6 | 5,796,633 | 08-18-1998 | Burgess et al. | 364 | 551.01 | 07-12-1996 |
| | A7 | 5,949,976 | 09-07-1999 | Cappelle | 395 | 200.54 | 09-30-1996 |
| | A8 | 5,961,596 | 10-05-1999 | Takubo et al. | 709 | 224 | 02-14-1997 |
| | A9 | | | | | | |
| | A10 | | | | | | |
| | A11 | | | | | | |
| | A12 | | | | | | |
| | A13 | | | | | | |

Foreign Patent Documents

| *Examiner Initial | | Document Number | Date | Country | Class | Subclass | Translation | |
|-------------------|----|-----------------|------|---------|-------|----------|--------------------------|--------------------------|
| | | | | | | | YES | NO |
| | B1 | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | B2 | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | B3 | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | B4 | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | B5 | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |

OTHER ART

| *Examiner Initial | | Including Author, Title, Date, Pertinent Pages, Etc. |
|-------------------|----|--|
| <i>Har</i> | C1 | U.S. Pat Appln Serial No. 09/865,371, filed May 25, 2001 |
| | C2 | U.S. Pat Appln Serial No. 09/892,435, filed June 27, 2001 |
| | C3 | U.S. Pat Appln Serial No. 09/892,424, filed June 27, 2001 |
| | C4 | IBM Application System/400, Performance Management/400, Offerings and Services, including Performance Management/400 - Subset, Version 3, Third Edition September 1994 |

Examiner *Hoang Nguyen Ba* Date Considered *6/4/04*

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.